

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

International Appln. No. : PCT/NO2004/000153  
International Filing Date : May 17, 2004  
  
U.S. Appln. No. : 10/559,620  
Applicant : Thor Haavie  
Filed :  
Title : A Device For and A Method of Transferring  
Personnel Between Units at Sea  
  
Docket No. : 1935-00170  
Customer No. : 26753

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Milwaukee, Wisconsin 53202  
April 24, 2006

Commissioner for Patents  
Mail Stop - PCT - Fee  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This correspondence is responsive to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office mailed April 11, 2006 setting a response date of two months from the date of the Notice or June 11, 2006. This correspondence is being submitted within one month from the date of this Notice.

Responsive to the Notification of Missing Requirements mailed April 11, 2006, we enclose the following documents/items.

1. A copy of the Notification of April 11, 2006.
2. A declaration in this application executed by the inventor(s). The declaration includes the name(s) of the inventor(s), the title of the invention, and the International Appln. No. and filing date of the application. The application that the inventor(s) executed by signing the attached declaration is believed adequately identified.
3. An Information Disclosure Statement.

Appln. No. 10/559,620

Missing Parts dated April 24, 2006

Reply to Notice of Missing Requirements of April 11, 2006

4. A check in the amount of \$130.00 for the fee required by 37 C.F.R. 1.492(e) is attached. Kindly charge any additional fees under 37 C.F.R. §1.16, §1.17 or §1.492 incurred in connection with this application to Andrus, Sceales, Starke & Sawall Deposit Account No. 01.2000. A duplicate copy of this submission is attached.

The amount of \$1,000.00 covering the filing fee for 7 claims, 1 of which is/are independent was submitted with the application, as filed, on December 5, 2005. Kindly charge any additional fees under 37 C.F.R. §§1.16 or 1.17 incurred in connection with this application to Andrus, Sceales, Starke & Sawall Deposit Account No. 01.2000. A duplicate copy of this submission is attached.

Issuance of a Notice of Acceptance and entry of this application into the National Phase is respectfully requested.

Respectfully submitted,

ANDRUS, SCEALES, STARKE & SAWALL, LLP



Daniel D. Fetterley  
(Reg. No. 20,323)

100 East Wisconsin Avenue, Suite 1100  
Milwaukee, Wisconsin 53202  
(414) 271-7590

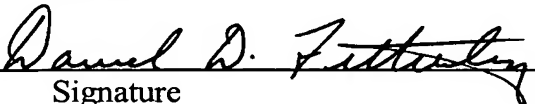
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CERTIFICATE OF EXPRESS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as EXPRESS MAIL - POST OFFICE ADDRESSEE, in an envelope addressed to: Commissioner for Patents, Mail Stop - PCT, P.O. Box 1450, Alexandria, VA 22313-1450 on the 24th day of April, 2006. The Express Label is EV416088385US.

Daniel D. Fetterley	20,323
Name	Reg. No.
	4/24/06
Signature	Date

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL**  
**For FY 2006**☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** **\$130.00****Complete if Known**

Application Number 10/559,620

Filing Date

First Named Inventor Thor Haavie

Examiner Name

Art Unit

Attorney Docket No. 1935-00170

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):☒ Deposit Account Deposit Account Number: 01.2000 Deposit Account Name: GE Medical Systems - IT

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES****Fee Description**

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
<b>Multiple Dependent Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 20	=	x	= \$0.00

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 3	=	x	= \$0.00

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100	=	/ 50 =	(round up to a whole number) x	= \$0.00

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Late Oath or Declaration

**Fees Paid (\$)**

\$130.00

**SUBMITTED BY**

Signature	<i>Daniel D. Fetterley</i>	Registration No. (Attorney/Agent)	20,323	Telephone	414-271-7590
Name (Print/Type)	Daniel D. Fetterley	Date	04/24/2006		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/559,620	Thor Haavie	1935-00170

INTERNATIONAL APPLICATION NO.
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PCT/NO04/00153

I.A. FILING DATE	PRIORITY DATE
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05/17/2004

06/02/2003

26753

ANDRUS, SCEALES, STARKE & SAWALL, LLP  
 100 EAST WISCONSIN AVENUE, SUITE 1100  
 MILWAUKEE, WI 53202

CONFIRMATION NO. 5574

371 FORMALITIES LETTER



\*OC000000018499737\*

Date Mailed: 04/11/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/05/2005
- Copy of the International Search Report filed on 12/05/2005
- Preliminary Amendments filed on 12/05/2005
- Information Disclosure Statements filed on 12/05/2005
- Copy of references cited in ISR filed on 12/05/2005
- U.S. Basic National Fees filed on 12/05/2005
- Priority Documents filed on 12/05/2005
- Specification filed on 12/05/2005
- Claims filed on 12/05/2005
- Abstracts filed on 12/05/2005
- Drawings filed on 12/05/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DEBORAH D WILLIAMS

Telephone: (703) 308-9140 EXT 205

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/559,620	PCT/NO04/00153	1935-00170

FORM PCT/DO/EO/905 (371 Formalities Notice)